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JF 2124

384818511US1

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Number 09/653,706-Conf. #5924 Filing Date TRANSMITTAL September 1, 2000 First Named Inventor **FORM** Gilberto Arniz Art Unit 2124 (to be used for all correspondence after initial filing) **Examiner Name** W. H. Wood

Attorney Docket Number

Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): **IDS Resubmission** Request for Refund **Express Abandonment Request** Form SB/08 Copies of Cited References (2) CD, Number of CD(s) x Information Disclosure Statement Return Receipt Postcard Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name INS, COIE LLP Signature Printed name Steven D. Lawrenz Reg. No. Date 37,376

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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ARNAIZ ET AL.

APPLICATION No.:

09/653.706

FILED:

09/01/2000

FOR: METHOD, SYSTEM, APPARATUS AND

PROGRAM PRODUCT FOR DISTRIBUTION AND INSTANTIATION OF SOFTWARE

**UPGRADES** 

EXAMINER: WOOD, WILLIAM H.

**ART UNIT:** 

2124

CONF. No: 5924

## Resubmission of Information Disclosure Statement Filed on April 11, 2005

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The enclosed Information Disclosure Statement (IDS) was filed with the U.S. Patent and Trademark Office on April 11, 2005 prior to the mailing of the Notice of Allowance on May 2, 2005. The patent record indicates it was received, however, the examiner has not returned an initialed copy of the Form SB/08 listing the cited references. Applicants respectfully resubmit the IDS and request that the Examiner initial the enclosed Form SB/08.

No fees are believed due because this is a resubmission of a previous filing. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665. If the Examiner has any questions, he is requested to contact Steven D. Lawrenz at (206) 359-6373.

Date:\_ 4/6/05

Steven D. Lawrenz

Registration No. 37,376

Respectfully submitted, Perkins Coie LLP

Correspondence Address:

Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000

Docket No.: 384818511US1

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Arniz et al.

Application No.: 09/653,706

Confirmation No.: 5924

Filed: September 1, 2000

Art Unit: 2124

For:

METHOD, SYSTEM, APPARATUS AND

PROGRAM PRODUCT FOR

DISTRIBUTION AND INSTANTIATION OF

SOFTWARE UPGRADES

Examiner: W. H. Wood

# **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Application No.: 09/653,706 Docket No.: 384818511US1

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

A copy of only those references listed below is attached:

For. Doc No.	Ctry	Patentee/Applicant	Publication Date
0802480	EP	Sun Microsystems Inc.	October 22, 1997

# Other Documents Anonymous, "Logging Status Information While Installing New Software," IBM Technical Disclosure Bulletin, IBM Corp., vol. 34, no. 1, June 1991 (1991-06) page 177, New York, USA.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

Application No.: 09/653,706 Docket No.: 384818511US1

application by this firm) to our Deposit Account No. 50-0665, under Order No.

384818511US1.

Dated:

4/11/05

Respectfully submitted

Stéven D. Lawrenz

Registration No.: 37,376

PERKINS COIE LLP

P.O. Box 1247

Seattle, Washington 98111-1247

(206) 359-8000

(206) 359-7198 (Fax)

Attorney for Applicant

Express Mail No. EV723060236US

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO				Complete if Known		
ľ	Dosaidle for form 1449746	710		Application Number	09/653,706-Conf. #5924	
	NFORMATIC	N DI	SCLOSURE	Filing Date	September 1, 2000	
	STATEMENT			First Named Inventor	Gilberto Arniz	
	O 17 (1 = 111. = 1 ( )	,		Art Unit	2124	
	(Use as many she ets as necess ary)			Examiner Name	W. H. Wood	
Shee	t 1	of	1	Attorney Docket Number	384818511US1	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,473,772	12-05-1995	Halliwell et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	₁•
	ВА	EP-0802480	10-22-1997	Sun Microsystems Inc.		1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspio.gov">www.uspio.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ltem (boo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²			
	CA	Anonymous, "Logging Status Information While Installing New Software," IBM Technical Disclosure Bulletin, IBM Corp., vol. 34, no. 1, June 1991 (1991-06) page 177, New York, USA.			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
Olyriature		

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.